



TSW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**T.P. MCKEE, et al.**

SerialNo.: 10/692,324

Filed: October 23, 2003

For: EXTENSIBLE USER CONTEXT  
SYSTEM FOR DELIVERY OF  
NOTIFICATIONS

Atty. Docket No.: 003797.01266

Group Art Unit: 2194

Examiner: Fahd Patel

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure. A copy of the non-U.S. cited references are attached together with an International Search Report from a related application.

Transmitted herewith is:

- A PTO/SB/08a form
- A Background Art Information Paper
- A copy of each non-U.S. item of information listed on the PTO/SB/08a form
- Concise explanation of non-English language information
  - concise explanation of relevance:
  - English translation of foreign language information
  - English language version of foreign patent office report citing information
  - English language abstract(s) of foreign patent document(s)

[ ] This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. \*\*\*\*\*, filed \*\*\*. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.

1. [ ] This Information Disclosure Statement is filed before the later of:

- three months from the filing date of this national application;
- three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
- the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. [X] This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:

- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
- a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

- [ ] a fee under 37 CFR 1.17(p) for \$180.00; or  
[X] a certification as stated below.

3. [ ] This Information Disclosure Statement is filed before payment of the issue fee, but after either

- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;
- a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

- [ ] a certification, as stated below; and  
[ ] the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification under 1.97(e)1 or 1.97(e)2

The undersigned states:

[ ] Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement (e1 certification); or

[X] No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (e2 certification).

**TOTAL FEES:**      -\$-

[ ] Please charge Deposit Account No. 19-0733 in the amount of \$.

[X] No fee is required.

[X] Please charge or credit Deposit Account No. 19-0733 for any deficiency or overpayment associated with this Information Disclosure Statement.

A duplicate copy of this sheet is enclosed for accounting purposes.

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

BANNER & WITCOFF, LTD. By:  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000  
Dated: March 31, 2006

  
\_\_\_\_\_  
Jordan N. Bodner  
Registration No. 42,338

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

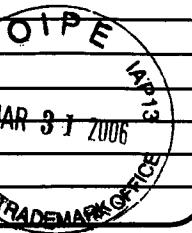
## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	2194
Examiner Name	Fahd Patel
Attorney Docket Number	003797.01266



### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
/V.N./		US - 5,388,196		PAJAK, et al.	02/1995	
		US - 5,835,094		ERMEL, et al.	11/1998	
		US - 6,535,229		KRAFT	03/2003	
		US - 6,803,926		LAMB, et al.	10/2004	
		US - 6,880,132		UEMURA	04/2005	
		US 2001/0049675		MANDLER, et al.	12/2001	
		US 2001/0056434 A1		KAPLAN, et al.	12/2001	
		US 2002/0049717		ROUTTENBERG, et al.	04/2002	
		US 2002/0062310		MARMOR, et al.	05/2002	
		US 2002/0097278		MANDLER, et al.	07/2002	
		US 2002/0152262		ARKIN, et al.	10/2002	
		US 2003/0018657		MONDAY	01/2003	
		US 2003/0101200		KOYAMA, et al.	05/2003	
		US 2003/0115218		BOBBITT, et al.	06/2003	
		US 2003/0140115		MEHRA	07/2003	
		US 2003/0212710		GUY	11/2003	
		US 2004/0019655		UEMURA, et al.	01/2004	
		US 2004/0044776		LARKIN	03/2004	
		US 2004/0054674		CARPENTER, et al.	03/2004	
		US 2004/0091175		BEYROUTI	05/2004	
		US 2004/0098370		GARLAND, et al.	05/2004	
		US 2004/0148434		MATSUBARA, et al.	07/2004	
		US 2004/0193621 A1		MOORE, et al.	09/2004	
		US 2004/0225650		COOPER, et al.	11/2004	
		US 2004/0230917 A1		BALES, et al.	11/2004	
		US 2005/0055306		MILLER, et al.	03/2005	
		US 2006/0020586		PROMPT, et al.	01/2006	
/V.N./						

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/V.N./		Cohen, et al., "A Case for Associative Peer to Peer Overlays" –ACM SIGCOMM Computer Communications Review, Vol. 33, No. 1, January 2003, pp. 95-100.	
/V.N./		Lui, et al., "Interoperability of Peer-to-Peer File Sharing Protocols" –ACM SIGecom Exchanges, Vol. 3, No. 3, August 2002, pp. 25-33.	

Examiner Signature	/Van Nguyen/	Date Considered	01/18/2010
--------------------	--------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's use of citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 (if possible). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and